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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

|                        |                      |
|------------------------|----------------------|
| Application Number     | 09/990,402           |
| Filing Date            | November 21, 2001    |
| First Named Inventor   | William K. Slate, II |
| Art Unit               | 3621                 |
| Examiner Name          | Evens J. Augustin    |
| Attorney Docket Number | AAA-003              |

**ENCLOSURES (Check all that apply)**

|   |  |   |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/<br>Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please identify<br>below): |
| <b>Remarks</b><br>The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075, Order No. 000604.0008. A duplicate copy of this Transmittal Form is transmitted herewith.   |  |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|              |  |          |        |
|--------------|--|----------|--------|
| Firm Name    | FISH & NEAVE IP GROUP / ROPES & GRAY LLP |          |        |
| Signature    |  |          |        |
| Printed name | Michael J. Chasan                        |          |        |
| Date         | February 22, 2007                        | Reg. No. | 54,026 |

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| Signature   |                    |      |                   |
| Typed or printed name   | ROSE MARIE DHANRAJ | Date | February 22, 2007 |

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AAA-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : William K. Slate, II et al.  
Application No. : 09/990,402 Confirmation No.: 3669  
Filed : November 21, 2001  
For : ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE  
MANAGEMENT  
Art Unit : 3621  
Examiner : Evens J. Augustin

New York, New York 10036  
February 22, 2007

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REPLY TO OFFICE ACTION

Sir:

This is responsive to the November 30, 2006 Office  
action.

Remarks begin on page 2 of this Reply.